AP3 Rec'd PCT/PTO 23 MAR 2008

FOF M PTO-1390 (REV. 11-2004) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 58086-229105

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO. PCT/US2004/030995

INTERNATIONAL FILING DATE

September 22, 2004

PRIORITY DATE CLAIMED September 24, 2003

TITLE OF INVENTION

HYBRID SYNTHESIS OF CORE/SHELL NANOCRYSTALS

APPLICANT(S) FOR DO/EO/US

Shimon WEISS, James M. TSAY, and Malte PFLUGHOEFET

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. A This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4.

 The US has been elected by the expiration of 19 months from the priority date (Article 31).
- 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)), published as WO 2005/031802 A2 and WO 2005/031802 A3
 - is attached hereto (required only if not communicated by the International Bureau).
 - b. A has been communicated by the International Bureau. (attach form IB 308)
 - c.
 is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - is attached hereto.
 - b. has been previously submitted under 35 U.S.C. 154(d)(4)
- 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. are attached hereto (required only if not communicated by the International Bureau).
 - b. have been communicated by the International Bureau.
 - c. \square have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
- 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. A copy of the oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98, with 1 reference.
- 12. A copy of the recorded assignment document. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- A FIRST preliminary amendment.
- 14. An Application Data Sheet under 37 CFR 1.76.
- 15.

 A substitute specification.
- 16.
 A power of attorney and/or change of address letter.
- 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 1.825.
- 18. A second copy of the published international application under 35 U.S.C. 154(d)(4) published as WO 2005/031802 A3
- 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. ☑ Other items or information: PCT/IB/304; PCT/IB/301/PCT/IB/311; PCT/IB/306; PCT/ISA/220

This collection of information is required by 37 CFR 1.414 and 1.49-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SENT TO: Mail Stop PCT, commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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U.S. APPLICATION NO.	. (if)isowyce	e 37 CER 1.5)		INTERNATIONAL APPLICATION	N NO.		ATTORNEY'S DOCKET NUM	٦.
Ù.S. APPLICATION NO.	ĬÛ	157	3254	PCT/US2004/030995			58086-229105	
21. The follow								
							\$ 300.00	
⊠ b) Examination fee\$200.00							\$ 200.00	
☑ c) Search fee\$500.00							\$ 500.00	
TOTAL OF ABOVE CALCULATIONS = \$1000.00							\$ 1,000.00	
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sequence	listing o	computer lis	and drawings f sting field in an r fraction thered	iled in paper over 100 she electronic medium). The t of.	et (excluie ee is \$2	ding 50 for each		
Total Sheets Extra		וום סווככנס ייייייי		each additional 50 or fraction		RATE		
			Thereof (round up to a whole number)					
21 -100		/50 =				x \$250.00		
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Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).								
CLAIMS	CLAIMS NUME		ER FILED NUMBER EXTRA		RATE		\$	
Total claims		35 - 20 =		15	х	\$50.00	\$ 750.00	
Independent claims			2 - 3 =	0	x	\$200.00	\$	•
MULTIPLE DEPENDENT CLAIMS(S) (if applicable) + \$360.00						\$360.00	\$	
TOTAL OF ABOVE CALCULATIONS =							\$ 1,750.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.							\$ 875.00	
SUBTOTAL =							\$ 875.00	
Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from							\$	
the earliest claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE =							\$ 875.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied							\$ 875.00	
by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property								
TOTAL FEES ENCLOSED =							\$ 875.00	
							Amount to be refunded:	\$
							Amount to be charged:	\$ 875.00
a. A check in the amount of \$ to cover the above fees is enclosed.								
b. Please charge my Deposit Account No. 22-0261 in the amount of \$875.00 to cover the above fees.								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 22-0261. A duplicate copy of this sheet is enclosed.								
d. Fees are	e to be c	harged to a	credit card. V		on this fo	orm may becon	ne public. Credit card	d information should not be
NOTE: Where	an appr	opriate time	e limit under	37 CFR 1.494 or 1.495 h	nas not		etition to revive (37	CFR 1.137 (a) or (b))
must be filed a	and gran	ted to resto	ore the applic	ation to pending status	.		11	Ω
SEND ALL CORRESPONDENCE TO:							Herry L	Valey
VENABLE LLP			///	/				
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Phone No. 202-344-4000 42,4								
Fax No. 202-344-8300 REG							ISTRATION NUMBE	R